

1 IN THE UNITED STATES DISTRICT COURT  
2 FOR THE NORTHERN DISTRICT OF CALIFORNIA  
3 LUMILEDS LIGHTING U.S. LLC, No. C 05-04521 CW  
4 v.  
5 EPISTAR CORPORATION ET AL.,  
6 EPISTAR CORP. No. C 07-05194 CW  
7 v.  
8 PHILIPS LUMILEDS LIGHTING MINUTE ORDER AND  
9 CASE MANAGEMENT  
10 ORDER

11 Clerk: Sheilah Cahill Reporter: Raynee Mercado  
12 Plaintiff Attorney: Michael Lyon & Amy Spicer for Lumileds  
13 Defendant Attorney: Steven Hemminger and Lenny Huang for Epistar

14 A case management conference was held on: 5/6/08. The Case  
15 Management Statement and Proposed Order filed by the parties is hereby  
16 adopted by the Court as the Case Management Order for the case, except  
17 as may be noted below. The Court's standard Order for Pretrial  
18 Preparation also applies.

19 The case is hereby referred to the following ADR process:  
20 Non-binding Arbitration:  Early Neutral Evaluation:   
Court-connected mediation:  Private mediation:   
Magistrate Judge settlement conference:   
ADR session to be held by: [not set]  
(or as soon thereafter as is convenient to the mediator's schedule)

21 Deadline to add additional parties or claims: [not set]  
22 Date of next case management conference: 07/10/08

23 Completion of Fact Discovery: [not set]  
Disclosure of identities and reports of expert witnesses: [not set]  
Completion of Expert Discovery: [not set]

24 Motion for judgment on pleadings to be heard at 2:00 P.M.: 07/10/08  
Final Pretrial Conference at 2:00 P.M. on: [not set]  
A day Trial will begin at 8:30 A.M. on: [not set]

25 Additional Matters: Copy of Court's Order for Pretrial Preparation  
given to attys in court. Counsel to include in briefs whether  
Epistar waived their license defense or both sides agreed to defer  
it. **A Further Case Management Conference will be held on 7/10/08**  
**at 2:00 p.m. whether or not motion for judgment on pleadings is**  
**filed (or on whatever date motion is set).**

1 IT IS SO ORDERED.  
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3 Dated: 5/8/08  
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5 Copies to: Chambers; ADR  
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*Claudia Wilken*

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CLAUDIA WILKEN  
United States District Judge

NOTICE

Case Management Conferences and Pretrial Conferences are conducted on **Tuesdays** at 2:00 p.m. Criminal Law and Motion calendar is conducted on **Wednesdays** at 2:00 p.m. for defendants in custody and 2:30 p.m. for defendants not in custody. Civil Law and Motion calendar is conducted on **Thursdays** at 2:00 p.m. Order of call is determined by the Court. Counsel need not reserve a hearing date for civil motions; however, counsel are advised to check the legal newspapers or the Court's website at [www.cand.uscourts.gov](http://www.cand.uscourts.gov) for unavailable dates.

Motions for Summary Judgment: All issues shall be contained within one motion of 25 pages or less, made on 35 days notice. (See Civil L.R. 7-2). Separate statements of undisputed facts in support of or in opposition to motions for summary judgment will not be considered by the Court. (See Civil Local Rule 56-2(a)). The motion and opposition should include a statement of facts supported by citations to the declarations filed with respect to the motion. Evidentiary and procedural objections shall be contained within the motion, opposition or reply; separate motions to strike will not be considered by the Court. Any cross-motion shall be contained within the opposition to any motion for summary judgment, shall contain 25 pages or less, and shall be filed 21 days before the hearing. The reply to a motion may contain up to 15 pages, shall include the opposition to any cross-motion, and shall be filed 14 days before the hearing. (See Civil Local Rule 7-3). The Court may, *sua sponte* or pursuant to a motion under Civil L.R. 6-3, reschedule the hearing so as to give a moving party time to file a reply to any cross-motion.

All discovery motions are referred to a Magistrate Judge to be heard and considered at the convenience of his/her calendar. All such matters shall be noticed by the moving party for hearing on the assigned Magistrate Judge's regular law and motion calendar, or pursuant to that Judge's procedures.

Pursuant to General Order 45, § VI.G, "In all cases subject to ECF, in addition to filing papers electronically, the parties are required to lodge for chambers **no later than noon on the business day following the day that the papers are filed electronically**, one paper copy of each document that is filed electronically."

(rev. 10/10/07)

ORDER FOR PRETRIAL PREPARATION

PRETRIAL CONFERENCE

1. Not less than 30 days prior to the pretrial conference, counsel shall exchange (but not file or lodge) the papers described in Civil L.R. 16-10(b)(7), (8), (9), and (10), and their motions in limine.

2. At least 20 days before the final pretrial conference, lead counsel who will try the case shall meet and confer with respect to:

(a) Preparation and content of the joint pretrial conference statement;

(b) Resolution of any differences between the parties regarding the preparation and content of the joint pretrial conference statement and the preparation and exchange of pretrial materials to be served and lodged pursuant to this Order for Pretrial Preparation. To the extent such differences are not resolved, parties will present the issues in the pretrial conference statement so that the judge may rule on the matter during the pretrial conference; and

(c) Settlement of the action.

3. Not less than 10 days prior to the pretrial conference, counsel shall submit the following.

(a) Pretrial Conference Statement. The parties shall file a joint pretrial conference statement containing the following information:

### (1) The Action

(A) Substance of the Action. A brief description of the substance of claims and defenses which remain to be decided.

(B) Relief Prayed. A detailed statement of all the relief claimed, particularly itemizing all elements of damages claimed.

(2) The Factual Basis of the Action.

1                         (A) Undisputed Facts. A plain and concise  
2 statement of all relevant facts not reasonably disputed.

3                         (B) Disputed Factual Issues. A plain and concise  
4 statement of all disputed factual issues which remain to  
be decided.

5                         (C) Agreed Statement. A statement assessing  
6 whether all or part of the action may be presented upon  
an agreed statement of facts.

7                         (D) Stipulations. A statement of stipulations  
requested or proposed for pretrial or trial purposes.

8                         (3) Disputed Legal Issues. Without extended legal  
9 argument, a concise statement of each disputed point of  
10 law concerning liability or relief.

11                         (4) Further Discovery or Motions. A statement of  
12 all remaining discovery or motions.

13                         (5) Trial Alternatives and Options.

14                         (A) Settlement Discussion. A statement summarizing  
15 the status of settlement negotiations and indicating  
whether further negotiations are likely to be productive.

16                         (B) Consent to Trial Before a Magistrate Judge. A  
17 statement whether the parties consent to a court or jury  
trial before a magistrate judge, with appeal directly to  
the Ninth Circuit.

18                         (C) Bifurcation, Separate Trial of Issues. A  
19 statement of whether bifurcation or a separate trial of  
specific issues is feasible and desired.

20                         (6) Miscellaneous. Any other subjects relevant to  
21 the trial of the action, or material to its just, speedy  
and inexpensive determination.

22                         (b) Exhibit List and Objections. The exhibit list  
23 shall list each proposed exhibit by its number (see Civil L.R.  
24 30-2(b)), description, and sponsoring witness, followed by  
25 blanks to accommodate the date on which it is marked for  
26 identification and the date on which it is admitted into  
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1 evidence. **No party shall be permitted to offer any exhibit in**  
2 **its case-in-chief that is not disclosed in its exhibit list**  
3 **without leave of the Court for good cause shown.** Parties  
4 shall also deliver a set of premarked exhibits to the  
5 Courtroom Deputy. The exhibit markers shall each contain the  
6 name and number of the case, the number of the exhibit, and  
7 blanks to accommodate the date admitted and the Deputy Clerk's  
8 initials. (Appropriate sample forms are available on the  
9 Court's website at [www.cand.uscourts.gov](http://www.cand.uscourts.gov)). Any objections to  
10 exhibits which remain after the pretrial meeting shall be  
11 indicated in the pretrial statement.

12 (c) Witness List. In addition to the requirements  
13 of FRCivP 26(a)(3)(A), a brief statement describing the  
14 substance of the testimony to be given by each witness who may  
15 be called at trial. **No party shall be permitted to call any**  
**witness in its case-in-chief that is not disclosed in its**  
**pretrial statement without leave of Court for good cause**  
16 **shown.**

17 (d) Use of Discovery Responses. In addition to the  
18 requirements of FRCivP 26(a)(3)(B), a designation of any  
19 excerpts from interrogatory answers or from responses for  
20 admissions intended to be offered at trial. Counsel shall  
21 indicate any objections to use of these materials and that  
22 counsel have conferred respecting such objections.

23 (e) Trial briefs. Briefs on all significant  
24 disputed issues of law, including foreseeable procedural and  
25 evidentiary issues, which remain after the pretrial meeting.

26 (f) Motions in Limine. Any motions in limine that

1 could not be settled at the pretrial meeting shall be filed  
2 with the pretrial statement. All motions in limine shall be  
3 contained within one document, limited to 25 pages pursuant to  
4 Civil L.R. 7-2(b), with each motion listed as a subheading.  
5 Opposition to the motions in limine shall be contained within  
6 one document, limited to 25 pages, with corresponding  
7 subheadings, and filed five (5) days thereafter.

8 (g) Joint Proposed Voir Dire. The attached voir  
9 dire questionnaire will be given to the venire members, and  
10 copies of the responses will be made available to counsel at  
11 the beginning of voir dire. Counsel may submit a set of  
12 additional requested voir dire, to be posed by the Court, to  
13 which they have agreed at the pretrial meeting. Any voir dire  
14 questions on which counsel cannot agree shall be submitted  
15 separately. Counsel may be allowed brief follow-up voir dire  
16 after the Court's questioning.

17 (h) Joint Proposed Jury Instructions. As  
18 applicable, jury instructions §1.1A, §1.1C, §1.2 through  
19 §1.17, §1.19, §2.1 through §2.13, §3.1 through §3.3 from the  
20 Manual of Model Civil Jury Instructions for the Ninth Circuit  
21 (2007 Edition) will be given absent objection. Counsel shall  
22 jointly submit one set of additional proposed jury  
23 instructions, to which they have agreed at the pretrial  
24 meeting. The instructions shall be ordered in a logical  
25 sequence, together with a table of contents. Any instruction  
26 on which counsel cannot agree shall be marked as "disputed,"  
27 and shall be included within the jointly submitted  
28 instructions and accompanying table of contents, in the place

1 where the party proposing the instruction believes it should  
2 be given. Argument and authority for and against each  
3 disputed instruction shall be included as part of the joint  
4 submission, on separate sheets directly following the disputed  
5 instruction. Whenever possible, counsel shall deliver  
6 to the Courtroom Deputy a copy of their joint proposed jury  
7 instructions on a computer disk in WordPerfect or ASCII  
8 format. The disk label should include the name of the  
9 parties, the case number and a description of the document.

10 (i) Proposed Verdict Forms, Joint or Separate.

11 (j) Proposed Findings of Fact and Conclusions of  
12 Law (Court Trial only). Whenever possible, counsel shall  
13 deliver to the Courtroom Deputy a copy of their proposed  
14 findings of fact and conclusions of law on a computer disk in  
15 WordPerfect or ASCII format. The disk label should include the  
16 name of the parties, the case number and a description of the  
17 document.

18 JURY SELECTION

19 The Jury Commissioner will summon 20 to 25 prospective  
20 jurors. The Courtroom Deputy will select their names at  
21 random and seat them in the courtroom in the order in which  
22 their names are called.

23 Voir dire will be asked of sufficient venire persons so  
24 that eight (or more for a lengthy trial) will remain after all  
25 peremptory challenges and an anticipated number of hardship  
26 dismissals and cause challenges have been made.

27 The Court will then take cause challenges, and discuss  
28 hardship claims from the individual jurors, outside the

1 presence of the venire. The Court will inform the attorneys  
2 which hardship claims and cause challenges will be granted,  
3 but will not announce those dismissals until the process is  
4 completed. Each side may then list in writing up to three  
5 peremptory challenges. The attorneys will review each other's  
6 lists and then submit them to the Courtroom Deputy.

7 Then, from the list of jurors in numerical order, the  
8 Court will strike the persons with meritorious hardships,  
9 those excused for cause, and those challenged peremptorily,  
10 and call the first eight people in numerical sequence  
11 remaining. Those people will be the jury.

12 All jurors remaining at the close of the case will  
13 deliberate. There are no alternates.

14 SANCTIONS

15 Failure to comply with this Order is cause for sanctions  
16 under Federal Rule of Civil Procedure 16(f).

17 IT IS SO ORDERED.

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19 Dated: \_\_\_\_\_



CLAUDIA WILKEN  
UNITED STATES DISTRICT JUDGE

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JUROR QUESTIONNAIRE

Please fill out this form as completely as possible and print clearly. Since we want to make copies for the attorneys and the Court, do not write on the back of any page. If you need more room, continue at the bottom of the page. Thank you for your cooperation.

1. Your name:

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2. Your age:

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3. The city where you live:

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4. Your place of birth:

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5. Do you rent or own your own home?

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6. Your marital status: (circle one)

single   married   separated   divorced   widowed   live with partner

7. What is your occupation, and how long have you worked in it? (If you are retired, please describe your main occupation when you were working).

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8. Who is (or was) your employer?

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9. How long have you worked for this employer? \_\_\_\_\_

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10. Please list the occupations of any adults with whom you live.

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11. If you have children, please list their ages and sex and, if they are employed, please give their occupations.

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**United States District Court**  
For the Northern District of California

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12. Please describe your educational background:

Highest grade completed: \_\_\_\_\_

College and/or vocational schools you have attended:

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### **Major areas of study:**

13. Have you ever served on a jury before? How many

times?

When?

Was it a civil or criminal case?

Did the jury(s) reach a verdict?

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<sup>7</sup> See also the discussion of the relationship between the concept of ‘cultural capital’ and the concept of ‘cultural value’ in the introduction.

$R^2 = 0.99$ ,  $\text{AUC} = 1.0$  (100% sensitivity)

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“The first step in the right direction is to make the public aware of the problem.”